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	DEMARK	TIO DOISON	Application Number	09/996	<del></del>
,	TRANSMITTAL		Filing Date	Novem	aber 27, 2001
	FORM		First Named Inventor	BAMD	AD, Cynthia C.
(to be used	for all correspondence after initial	filing)	Art Unit	1645	
			Examiner Name	N/A	
Total Numbe	r of Pages in This Submission	9	Attorney Docket Number	M1015	.70071
		ENCI	OSURES (Check all tha	at apply	)
Amend  Extens  Expres  Information  Certifie  Document	ansmittal Form  Fee Attached  dment/Reply  After Final  Affidavits/declaration(s)  sion of Time Request as Abandonment Request ation Disclosure Statement ad Copy of Priority ment(s)  nse to Missing Parts/ plete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Remark	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a  Provisional Application  Power of Attorney, Revocation  Change of Correspondence Add  Ferminal Disclaimer  Request for Refund  CD, Number of CD(s)  Limber of Pages in Submission designed.		After Allowance communication to Technology Center (TC)  Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter Other Enclosure(s) (please Identify below):  PTO/SB/08A, PTO/SB/08B and copies of the cited references A1-13, B1-B12, C1-C17; and Return Receipt Postcard  include references.
	SIGNA	TURE C	F APPLICANT, ATTORN	NEY, O	R AGENT
Firm or Individual nam Signature	Joseph Hyosuk Kim, Ph.I Reg. No. 41,425 JHK Law	Э.		· · · · · ·	
Date	How		e, keg. Mo. 56, 8	11/2	
	November 4, 2005				
	С	ERTIFIC	ATE OF TRANSMISSIO	N/MAI	LING
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the date shown below.				
Typed or printed name	Harry Sung Lee			
Signature	Henrie	Date	November 4, 2005	

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Harry Lee

Applicant: BAMDAD, Cynthia C.

Serial No.: 09/996,069 Filed: November 27, 2001 Confirmation No.: 1136

Title: **DIAGNOSTIC TUMOR** 

MARKERS, DRUG SCREENING FOR TUMORIGENESIS INHIBITION, AND COMPOSITIONS AND METHODS FOR

TREATMENT OF CANCER

Examiner: N/A Art Unit: 1645

Attorney Docket No.: M1015-70071

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## Information Disclosure Statement

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed below and on the attached forms PTO/SB/08A and PTO/SB/08B (substitutes for form 1449/PTO) are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), as an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. The filing of this Information Disclosure Statement shall not be construed as an admission against interest in any manner. Copies of the cited documents are enclosed.

#### **English Language Documents:**

US 3,854,480, ZAFFARONI, December 17, 1974
US 4,452,775, KENT, June 5, 1984

US 4,675,189, KENT et al., June 23, 1987

Docket No. M1015.70071 NOV 0 7 2005 US 5,075,109, TICE et al., December 24, 1991 US 5,133,974, PARADISSIS et al., July 28, 1992 US 5,407,686, PATEL et al., April 18, 1995 US 5,736,152, DUNN, April 7, 1998 US 6,127,393, FERNANDEZ-POL, October 3, 2000 US 6,548,643 B1, MCKENZIE et al., April 15, 2003 US 2003/0130293 A1, BAMDAD, July 10, 2003 US 2003/0119834 A1, BAMDAD, June 26, 2003 US 2002/0156112 A1, BAMDAD et al., October 24, 2002 U.S. Application No. 09/631,818, BAMDAD, filing date of August 3, 2000 (abandoned) WO 95/24929 A2, MATHIOWITZ et al., September 21, 1995 (with English abstract) WO 00/34783 A1, BERGSMA et al., June 15, 2000 WO 00/43791 A2, BAMDAD et al., July 27, 2000 WO 02/056022 A2, BAMDAD et al., July 18, 2002

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HIEKEN et al., "beta3 Integrin Expression in Melanoma Predicts Subsequent Metastasis", Journal of Surgical Research (1996): 63(1): 169-173(5) (abstract only)

VAILHE et al., "In vitro angiogenesis is modulated by the mechanical properties of fibrin gels and is related to alpha(v)beta3 integrin localization", *In Vitro Cell Dev. Biol. Anim.* (1997), 33(10): 763-773 (abstract only)

PEGRAM et al., "Phase II study of receptor-enhanced chemosensitivity using recombinant humanized anti-p185HER2/neu monoclonal antibody plus cisplatin in patients with HER2/neu-overexpressing metastatic breast cancer refractory to chemotherapy treatment", *Journal of Clinical Oncology* (1998), 16: 2659-2671 (abstract only)

FRALEY et al., "New generation liposomes: the engineering of an efficient vehicle for intracellular delivery of nucleic acids", *Trends in Biochemical Sciences*(1981), 6: 77-80 (abstract only)

KUFE et al., "Differential reactivity of a novel monoclonal antibody (DF3) with human malignant versus benign breast tumors", *Hybridoma* (1984), 3(3): 223-232 (abstract only)

SCHNEIDER et al., "nm23 expression in advanced and borderline ovarian carcinoma", Anticancer Res. (1996), 16(3A): 1197-1202 (abstract only)

MAO et al., "Loss of nm23 expression predicts distal metastases and poorer survival for breast cancer", *Int. J. Oncol.* (2001), 18(3): 587-591 (abstract only)

GREGORIADIS, "Liposomes for drugs and vaccines", *Trends in Biotechnology* (1985), 3(9): 235-241 (abstract only)

### Foreign Language Document:

WO 92/07000A1, CHAMBON et al., April 30, 1992 (with English abstract)

It is respectfully requested that the Examiner indicate consideration of the cited references by returning copies of the attached forms PTO/SB/08A and PTO/SB/08B (substitutes for form 1449/PTO) with initials or other appropriate marks, and that the references be made of record as cited references in the application.

This Information Disclosure Statement is being filed before the mailing date of a First Office Action on the merits in the above-identified case. Therefore, no fee is required.

The Commissioner is authorized to charge JHK Law's Deposit Account No. **502486** for any fees required under 37 CFR §§ 1.16 and 1.17 and to credit any overpayment to said Deposit Account No. **502486**.

November 4, 2005

Date

Respectfully submitted,

Han Dee, Reg. Mo. 56, 814

Joseph Hyosuk Kim, Ph.D. Reg. No. 41,425 Attorney for Applicants

JHK Law

P.O. Box 1078 La Canada, CA 91012-1078 Telephone: (818) 249-8177

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

Col	mplete if Known	
Application Number	09/996,069	
Filing Date	November 27, 2001	
First Named Inventor	BAMDAD, Cynthia C.	
Art Unit	1645	
Examiner Name	N/A	
Attorney Docket Number	M1015.70071	

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (if known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 3,854,480	12-17-1974	ZAFFARONI	whole document
	A2	<sup>US-</sup> 4,452,775	06-05-1984	KENT	whole document
	A3	<sup>US-</sup> 4,675,189	06-23-1987	KENT et al.	whole document
	A4	<sup>US-</sup> 5,075,109	12-24-1991	TICE et al.	whole document
	<b>A</b> 5	<sup>US-</sup> 5,133,974	07-28-1992	PARADISSIS et al.	whole document
	A6	<sup>US-</sup> 5,407,686	04-18-1995	PATEL et al.	whole document
	A7	<sup>US-</sup> 5,736,152	04-07-1998	DUNN	whole document
	A8	<sup>US-</sup> 6,127,393	10-03-2000	FERNANDEZ-POL	whole document
	A9	<sup>US-</sup> 6,548,643 B1	04-15-2003	MCKENZIE et al.	whole document
	A10	<sup>US-</sup> 2003/0130293 A1	07-10-2003	BAMDAD	whole document
	A11	<sup>US-</sup> 2003/0119834 A1	06-26-2003	BAMDAD	whole document
	A12	<sup>US-</sup> 2002/0156112 A1	10-24-2002	BAMDAD et al.	whole document
	A13	US- 09/631,818 (08-03-2000) US-		BAMDAD	whole document
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T⁵
	B1	WO 92/07000	04-30-1992	CHAMBON et al.	whole document	V
	B2	WO 95/24929	09-21-1995	MATHIOWITZ et al.	whole document	
	В3	WO 00/34783	06-15-2000	BERGSMA et al.	whole document	L
	B4	WO 00/43791	07-27-2000	BAMDAD et al.	whole document	
	B5	WO 02/056022 A2	07-18-2002	BAMDAD et al.	whole document	
	B6	WO 02/078598 A2	10-10-2002	WRESCHNER et al.	whole document	

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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Sheet |2

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

L of 2

Cor	nplete if Known	
Application Number	09/996,069	
Filing Date	November 27, 2001	
First Named Inventor	BAMDAD, Cynthia C.	
Art Unit	1645	
Examiner Name	N/A	
Attorney Docket Number	M1015.70071	

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Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		FOREI	GN PATENT DOCL	JMENTS		
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	B7	WO 02/22685 A2	03-21-2002	KUFE et al.	whole document	
	B8	WO 03/020279 A2	03-13-2003	BAMDAD	whole document	
	B9	WO 03/020280 A2	03-13-2003	BAMDAD	whole document	
	B10	WO 03/089451 A2	10-30-2003	HOOGENBOOM et al.	whole document	
	B11	WO 2004/005470 A2	01-15-2004	PAYNE et al.	whole document	
	B12	WO 2005/019269 A2	03-03-2005	BAMDAD	whole document	

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INF	ORMATION	DIS	CLOSURE	Filing Date	November 27, 2001
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	BAMDAD, Cynthia C.
	(1100 00	4		Art Unit	1645
	(Use as many she	ets as n	ecessary)	Examiner Name	N/A
Sheet	1	of	2	Attorney Docket Number	M1015.70071

		NON PATENT LITERATURE DOCUMENTS			
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	C1	MEERZAMAN et al., "Involvement of the MAP kinase ERK2 in MUC1 mucin signaling", Am. J. Physiol. Lung Cell Mol. Physiol. (2001), 281: L86-L91			
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STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	BAMDAD, Cynthia C.	
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(Use as many sheets as necessary)				Examiner Name	N/A	
Sheet	2	of	2	Attorney Docket Number	M1015.70071	

Examiner	Cite	NON PATENT LITERATURE DOCUMENTS	
Initials*	No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C11	VAILHE et al., "In vitro angiogenesis is modulated by the mechanical properties of fibrin gels and is related to alpha(v)beta3 integrin localization", In Vitro Cell Dev. Biol. Anim. (1997), 33(10): 763-773	
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